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4-6-3. Aircraft and Operator Approval Policy/Procedures, RVSM Monitoring and Databases for Aircraft and Operator Approval

- a. RVSM Authority. 14 CFR Section 91.180 applies to RVSM operations within the U.S. 14 CFR Section 91.706 applies to RVSM operations outside the U.S. Both sections require that the operator obtain authorization prior to operating in RVSM airspace. 14 CFR Section 91.180 requires that, prior to conducting RVSM operations within the U.S., the operator obtain authorization from the FAA or from the responsible authority, as appropriate. In addition, it requires that the operator and the operator's aircraft comply with the standards of 14 CFR Part 91 Appendix G (Operations in RVSM Airspace).
- **b. Sources of Information.** Advisory Circular (AC) 91–85, Authorization of Aircraft and Operators for Flight in Reduced Vertical Separation Minimum (RVSM) Airspace, and the FAA RVSM website.
- c. TCAS Equipage. TCAS equipage requirements are contained in 14 CFR Sections 121.356, 125.224, 129.18 and 135.189. Part 91 Appendix G does not contain TCAS equipage requirements specific to RVSM, however, Appendix G does require that aircraft equipped with TCAS II and flown in RVSM airspace be modified to incorporate TCAS II Version 7.0 or a later version.
- d. Aircraft Monitoring. Operators are required to participate in the RVSM aircraft monitoring program. The "Monitoring Requirements and Procedures" section of the RVSM Documentation web page contains policies and procedures for participation in the monitoring program. Groundbased and GPS-based monitoring systems are available for the Domestic RVSM program. Monitoring is a quality control program that enables the FAA and other civil aviation authorities to assess the in-service altitude-keeping performance of aircraft and operators.
- e. Purpose of RVSM Approvals Databases. ATC does not use RVSM approvals databases to determine whether or not a clearance can be issued into RVSM airspace. RVSM program managers do regularly review the operators and aircraft that operate in RVSM airspace to identify and investigate those aircraft and operators flying in RVSM airspace, but not listed on the RVSM approvals databases.

f. Registration of U.S. Operators. When U.S. operators and aircraft are granted RVSM authority, the Separation Standards Group at the FAA Technical Center obtains PTRS operator and aircraft information to update the FAA maintained U.S. Operator/Aircraft RVSM Approvals database. Basic database operator and aircraft information can be viewed on the RVSM Documentation web page in the "RVSM Approvals" section.

4-6-4. Flight Planning into RVSM Airspace

- a. Operators that do not file the correct aircraft equipment suffix on the FAA or ICAO Flight Plan may be denied clearance into RVSM airspace. Policies for the FAA Flight Plan are detailed in subparagraph c below. Policies for the ICAO Flight Plan are detailed in subparagraph d.
- **b.** The operator will annotate the equipment block of the FAA or ICAO Flight Plan with an aircraft equipment suffix indicating RVSM capability only after the responsible civil aviation authority has determined that both the operator and its aircraft are RVSM-compliant and has issued RVSM authorization to the operator.
- c. General Policies for FAA Flight Plan Equipment Suffix. TBL 5–1–3, Aircraft Suffixes, allows operators to indicate that the aircraft has both RVSM and Advanced Area Navigation (RNAV) capabilities or has only RVSM capability.
- 1. The operator will annotate the equipment block of the FAA Flight Plan with the appropriate aircraft equipment suffix from TBL 5-1-3.
- 2. Operators can only file one equipment suffix in block 3 of the FAA Flight Plan. Only this equipment suffix is displayed directly to the controller.
- **3.** Aircraft with RNAV Capability. For flight in RVSM airspace, aircraft with RNAV capability, but not Advanced RNAV capability, will file "/W". Filing "/W" will not preclude such aircraft from filing and flying direct routes in en route airspace.
- **d.** Policy for ICAO Flight Plan Equipment Suffixes.
- 1. Operators/aircraft that are RVSM-compliant and that file ICAO flight plans will file "/W" in block 10 (Equipment) to indicate RVSM authorization and will also file the appropriate ICAO Flight